

Terahertz Imaging and Security Applications

Erich Grossman National Institute of Standards & Technology Quantum Electrical Metrology Division Terahertz Technology & Quantum Information Project Boulder, CO, USA co-workers:



Aaron J. Miller (NIST) Arttu Luukanen (permanent address VTT)

Support from

NIJ (Chris Tillery), TSA (checkpoint, Lee Spanier), and DARPA (MIATA, Martin Stickley)



Outline

- Application
 - Concealed Weapons Detection scenarios
 - Penetration, spatial resolution, and other drivers for frequency range
- Detection schemes, background
 - Passive and active direct detection
 - Figures of merit, sensitivity limits
- Antenna-coupled microbolometers
 - Principle of operation, fabrication, characterization
 - Air-bridge microbolometers
- Single-pixel active imaging: phenomenology
- 2D Staring array : real-time video imaging
 - System description
 - Imaging results
- 1D scanned array : active real-time imaging with large field-of-view:
 - Active systems favor scanned architectures
 - System layout, component tests
 - Migration to 650 GHz
- Sb quantum tunneling diodes
 - Principle of operation, I(V) and noise properties
 - Prospects for passive direct detection
- Conclusions

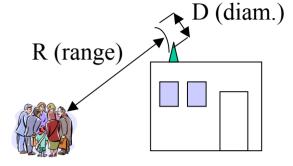
Theme :

What can be done, without major breakthoughs, for large-format, real-time, low-cost THz imaging ?

THz Imaging Arrays Application Scenario

- To image (detect and recognize) concealed threats
 - initially at short range (portal), e.g. 1.5 m
 - later at longer range, e.g. 10 50 m

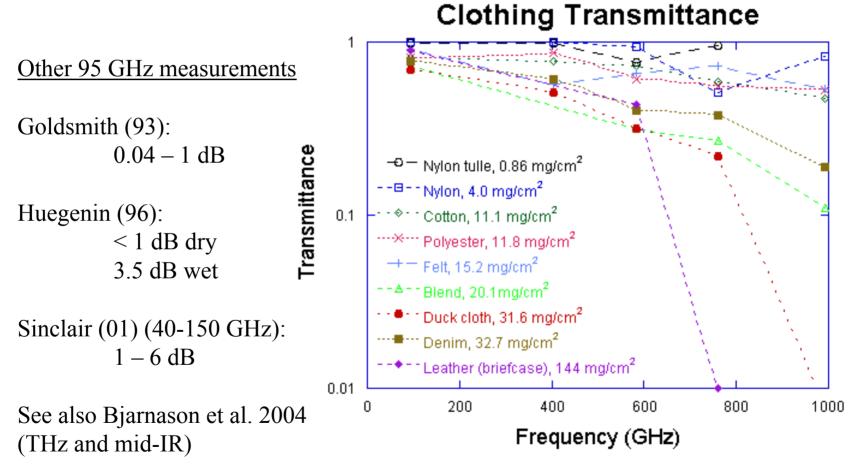
Requires ...



 $\text{Res} = (R/D)\lambda$

- Diffraction-limited resolution and good transmittance
 - D = 1 m (practical maximum) implies
 - res > 2.5 cm at 8 m range knife, gun, or explosive ?
 - > 6 cm at 20 m
 - > 15 cm at 50 m which person?
 - this assumes f = 100 GHz (linear improvement with f)
 - Transmittance rolls off smoothly with increasing frequency (NIST measurements next page)

Optimal Frequency for Penetration



From Grossman et al. Proc. SPIE, 2002

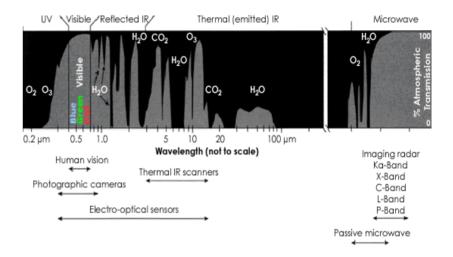
Application Requirements (cont.)

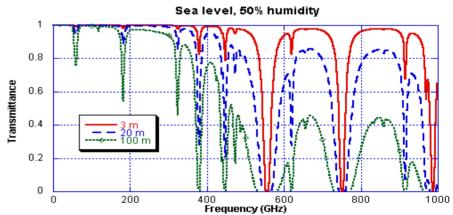
- Users care about
 - Image quality i.e. resolution and sensitivity -> ROE curve
 - Throughput (speed)
 - Privacy (user-interface) and Safety
 - Footprint (in some cases)
 - Range
 - <u>Cost</u>
- Technical drivers
 - Penetration and diffraction-limited resolution
 - Atmospheric transmission
 - Technological maturity



Atmospheric Transmission

- Swamped with rotational/vibrational spectra of molecules
- Terrestrial atmospheric transmission limited by H₂O absorption to a few windows (3 mm, 2 mm, 1.3 mm, 0.85 mm, 0.45 mm, 0.35 mm) for long ranges
- 1/e absorption length is comparable to range for many interesting applications, i.e. 10's of m

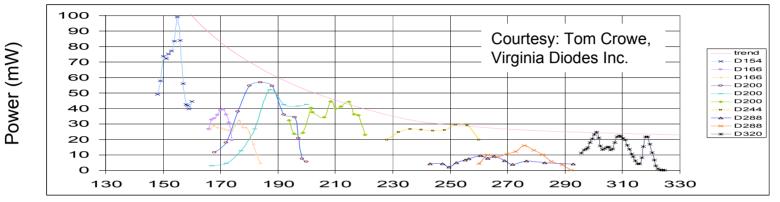




Technological Maturity, esp. Sources

- Fundamental W-band (Impatt and Gunn diode) sources show $P \sim 1/duty$ cycle
 - expected for thermally limited devices
 - ${\sim}300 \text{ mW CW}$

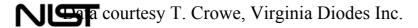
~15 W pulsed (d=.5%) (Quinstar)



Frequency (GHz)

• High efficiency varactors may show opposite behavior; key for migration of active systems to THz range

Duty cycle	Output power at 70 GHz (W)	Efficiency (%)	P _{out} * D ^{1/2} (W)
CW	1.4 W	17.5	1.4
10 %	2.0 W	25	0.63
4 %	2.7 W	33.8	0.54
2 %	3.1 W	38.8	0.44





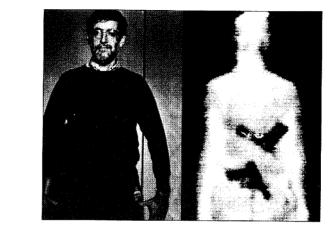
Initial VDI 600 GHz varactor chain Peak power 1.2 mW at 640 GHz

PMMW is old-hat, isn't it?

- Single pixel scanned image
- 30 minutes acquisition time
- Since 2001, realtime readout

available on some systems

- Sensitivity (500 5000 K)
- "fixed" This is 0.1 - 1 % of quantum limit, a practical limit for uncooled receivers



Millimeter wave detection of concealed weapon.

Features

Descriptio

Real time imaging using standard video Covert, passive operation evented as through cloth-col/observants -Complete funkcy systems available • Itensportable and fixed instatations Application

> metallic and nonmetallic) ostic explosives and dirug screening •

dox enforcement night viscos/ SWAI coercitions Covert screening of visitors/employees

-1 Sec. Juli Lee Dec

millitech ' Contraband Detection System



Anti-terrorism/arbort security • meloyed to dis 08sy/government official protection •



• 1995: Millitech catalog

Erich Grossman, grossman@boulder.nist.gov Colloquium, Sandia Natl. Lab, 11/17/04

Active vs Passive Imaging - Sensitivity

• <u>Passive</u> mmw signals are small; <u>This is much harder than in IR</u>

• For f=100 GHz, bandwidth=100 GHz, 1 diffraction-limited pixel : Total power = 400 pW : Outdoor contrasts are ~ 200 pW BUT Indoor contrasts are < 10 pW

- To detect < 1 pW in 1/30 s with S/N=10, you need either cryogenic detection (NEP=3x10⁻¹⁴) or coherent detection (Tnoise=12,000 K)
 - coherent detection is complex and expensive
- 100 GHz worth of indoor blackbody emission 1.4 pW/ K
 \$ 5000 active source 10 mW

-Active imaging should be easy, even with incoherent detection

 $1\sigma = \frac{NEP}{n\sqrt{2\tau}}$

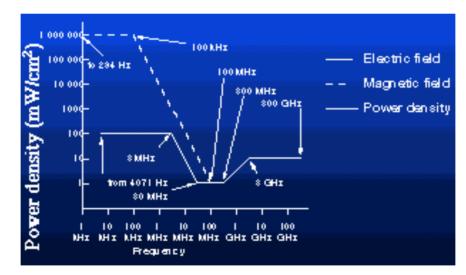
What about Safety ?

• FCC Ruling based on ANSI/IEEE standard C95.1-1992, for 100 GHz

1.0 mW/cm² (general public)

 5.0 mW/cm^2 (controlled access)

Occupational (controlled access) field strength limits

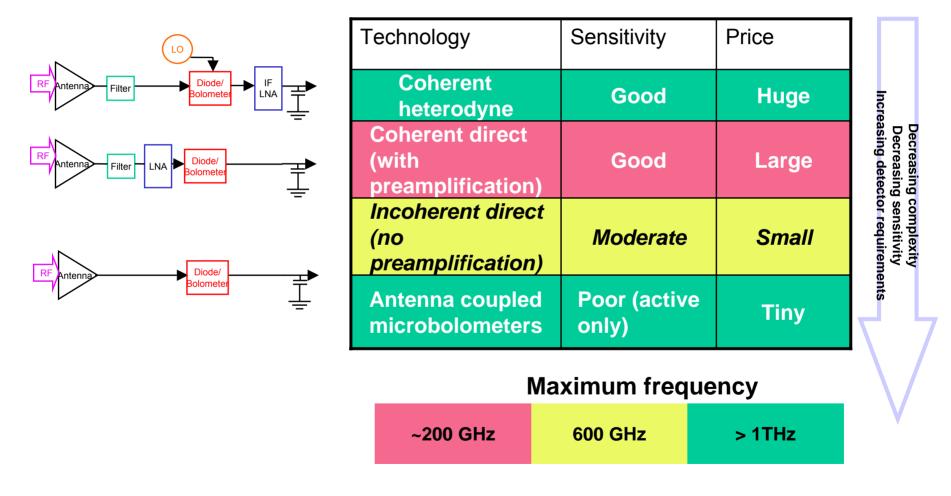


Not an issue for mmw or THz active imaging; 100 mW across 1 m² body area is x100 below guideline

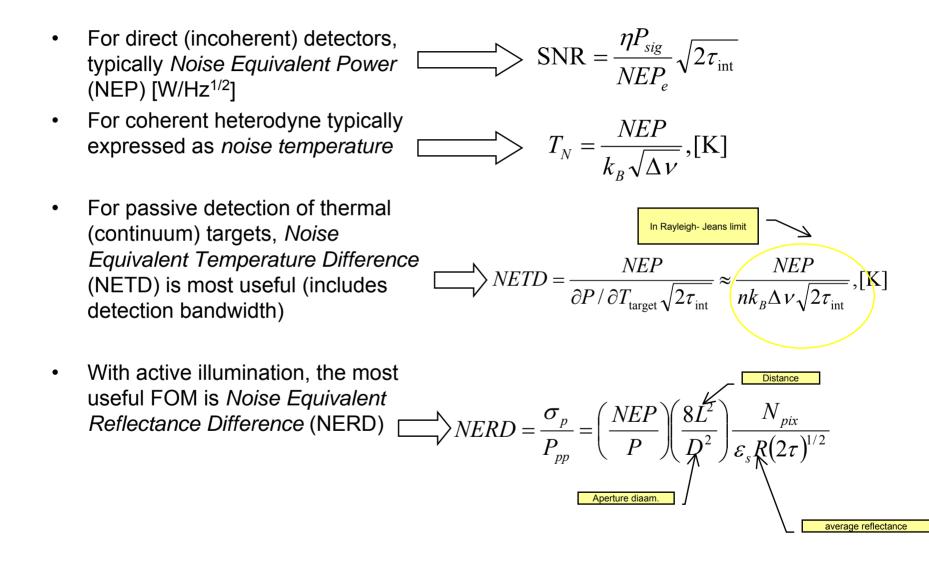


THz Detection: technology matrix

• (Passive) kilopixel imaging at video rates at mm/sub-mm waves



Figures of merit (Passive detection)

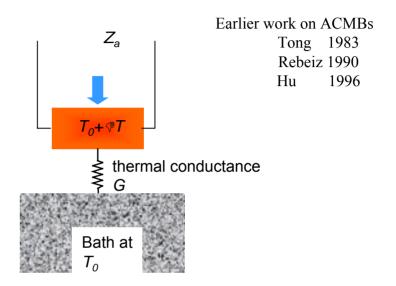


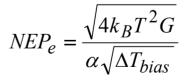
Antenna-coupled Microbolometers



Antenna-coupled microbolometers

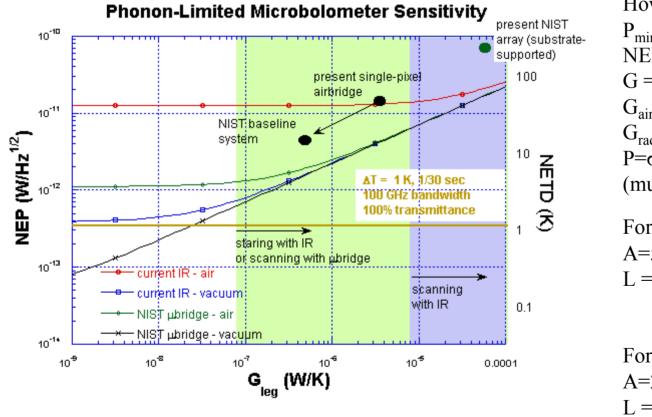
- A thermally isolated, resistive termination for a lithographed antenna
- Signal coupled to the bolometer changes its temperature: △T=P_{inc}/G
- A DC current is used to sense the resistance of the bolometer, given by $R=R_0(1+\alpha\Delta T)\equiv R_0(1+\beta P)$
- Electrical responsivity $S_e = \beta I$
- Noise contributions:
 - Phonon noise
 - Johnson noise
 - 1/f noise
 - Amplifier noise
- For room temperature devices, NEP is limited by Johnson noise





Microbolometer Sensitivity Limits

• For <u>passive</u> imaging, ACMB's lack the necessary sensitivity



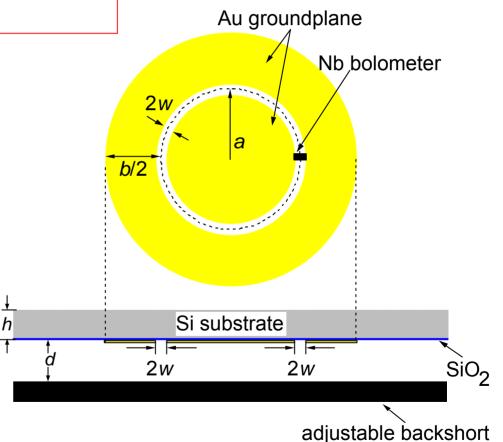
How the calculation works. $P_{min} = NEP/sqrt(2\tau)$ $NEP = sqrt(4kT^2G)$ $G = G_{dev} + G_{air} + G_{rad}$ $G_{air} = (.025 \text{ W/m-K})\text{A/L}$ $G_{rad} = dP/dT$ where $P=\sigma T^4 A$ or $\pi^2 k^2 T^2/6h$ (multimode or single-mode) For current IR. A=50x50 µm. $L = 2.5 \mu m$ (current) or $50 \,\mu\text{m}$ (high aspect) For NIST microbridge,

A= $2x10 \mu m$, L = $2 \mu m$

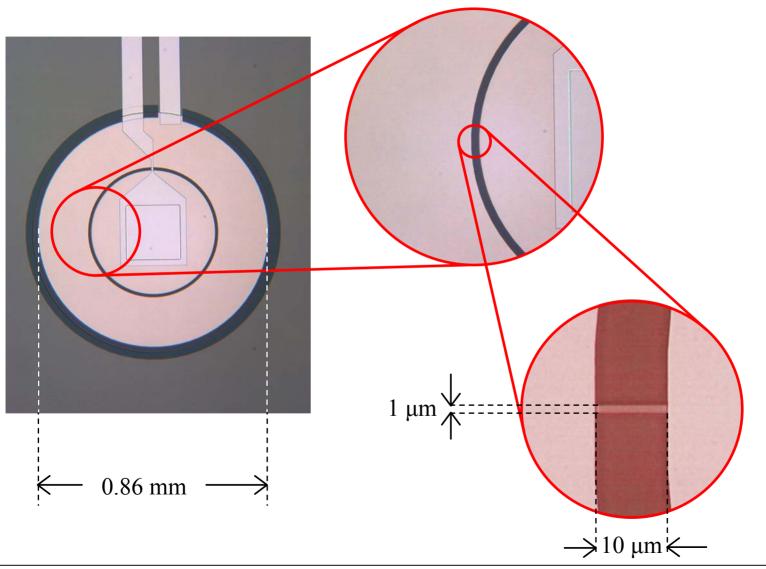
Slot-ring Antenna Configuration

The problem : High efficiency mmw feed antennas are generally not array-compatible

- Large-format array precludes
 substrate lenses or horns
- Slot transmission line; circumference = λ_{guide}
- Electrically thin substrate h < $\lambda_{dielectric}$ / 20 (= 50µm)
- $3\lambda_0/4$ backshort to raise directivity and recover backside coupling
 - -3 dB beamwidth = 21°
 - antenna impedance
 103-48j Ω

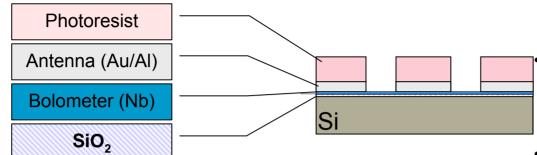


Substrate-Supported ACMB

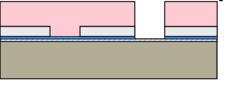




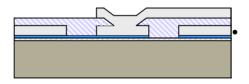
FPA fabrication

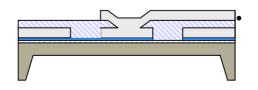


- Simple fabrication: only Nb, Au (or Al), SiO₂
- Currently using contact lithography
- two non-trivial processing steps: crossovers over Au; backside thinning to 50 μm under each pixel
- Processing yield typically >90 %









Deposit bolometerantenna bilayer, spin & pattern photoresist mask, define slot Pattern photoresist mask, remove Au from on top of the bolometer

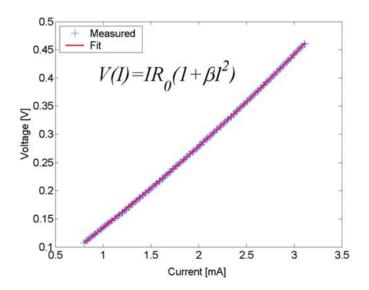
Deposit SiO_2 for crossovers

Define vias through the SiO_2 , deposit top wiring

Perform backside etch of Si under each pixel

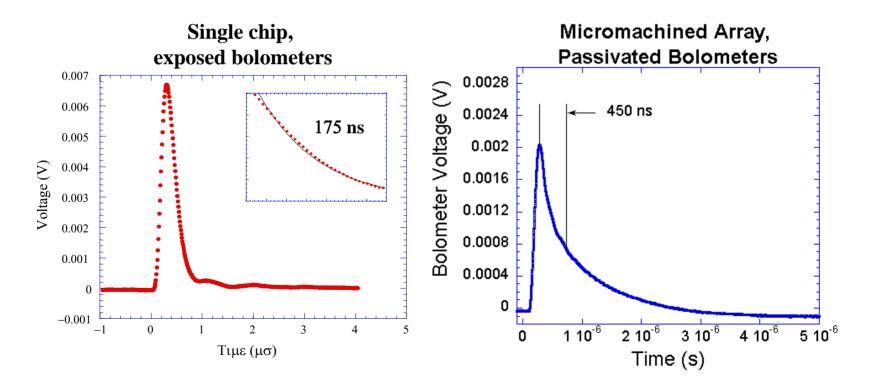
FPA Characterization

- Physics of self-heated bolometers extremely well understood
- Readout electronics allow for the simultaneous measurement of all 120 V(I) curves; Fit to the V(I) gives R_0 , specific responsivity β [V/W/mA]
- •Compared to Vox, Nb is lower responsivity but also lower noise
- Electrical:
 - V(I) curves of all pixels
 - Noise
 - Uniformity
- Optical
 - Efficiency
 - Polarization response
 - Speed

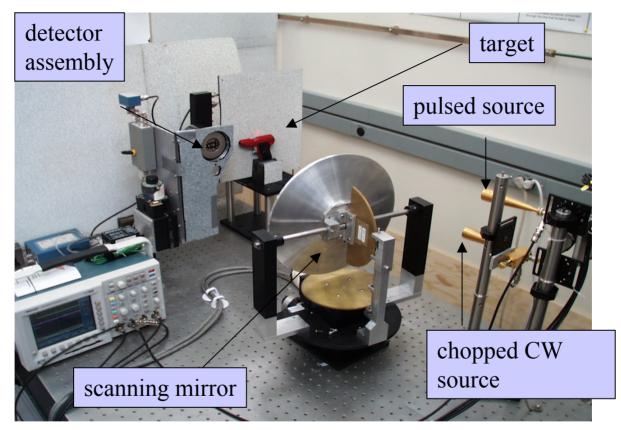


Passivated bolometer properties

- Oxidation is much slower, bolometers can be biased hotter
- Approximately x 8 higher optical responsivity
- Response is somewhat slower



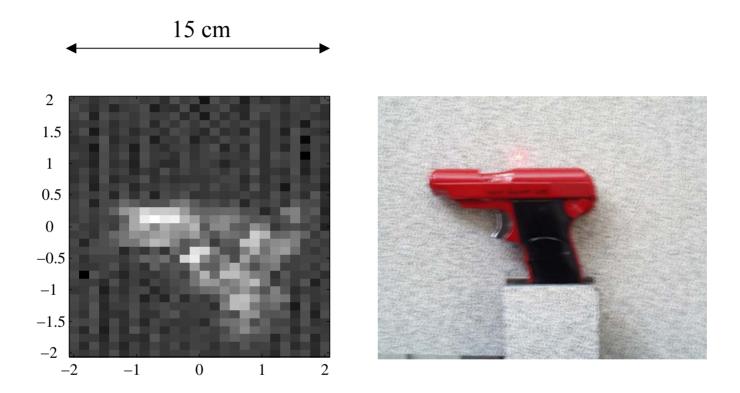
Scanned Imaging System



• Image acquired in 20 s, limited by mechanical stage

• Goal : qualify system (target reflectance, spatial resolution, sensitivity, etc.), examine phenomenology

Gun Images (rev. 2 optics)

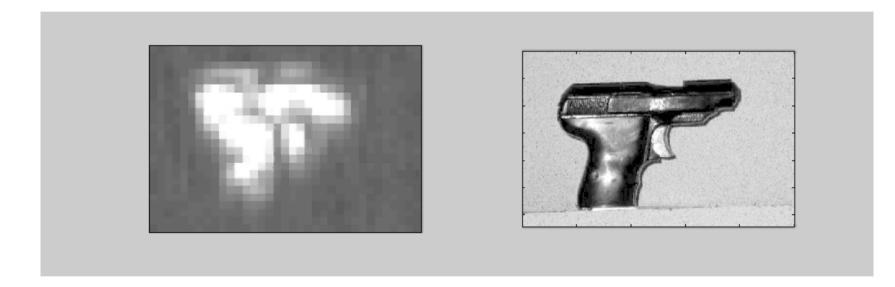


Conclusion #1 : Unpredictable hotspots



Erich Grossman, grossman@boulder.nist.gov Colloquium, Sandia Natl. Lab, 11/17/04

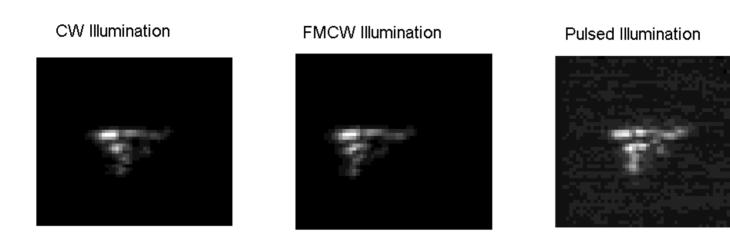
Gun Rotation Movie





Erich Grossman, grossman@boulder.nist.gov Colloquium, Sandia Natl. Lab, 11/17/04

Compare Illumination Modes



370

400

54

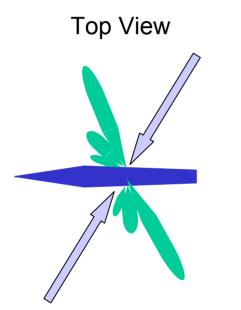
Dynamic Range (Peak/noise)

Conclusion #3 : Illumination mode (temporal) has little influence on qualitative image quality.

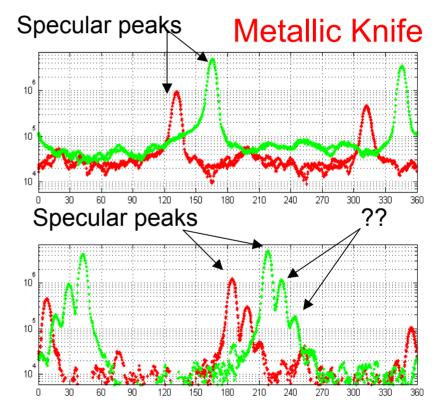


Video imagery: observations

• Some objects show surprising features:



Non-specular peaks are not rotationalsly symmetric, but have k diplaced toward edge



Ceramic Knife

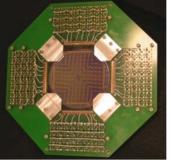
Active THz Imaging Arrays program directions, milestones

	Format	NEP	Speed	Status	
95 GHz, staring FPA (Luukanen 5410-29)	120-element (12x12 less corners)	80 pW/Hz ^{1/2} (elec.) 6-30 % effic.	400 kHz	In use (phenomenology)	
95 GHz, Airbridge (Miller 5411-04)	Single-pixel	20 pW/Hz ^{1/2}	30 kHz	Testing prior to insertion in scanned arrays	
scanning FPA 95 GHz (Grossman 5411-09)	128 detector X 300 scanpositions	20 pW/Hz ^{1/2} (elec.)		Under construction	
650 GHz				proposed	

Antenna-coupled Microbolometer Arrays

- ACMB arrays are simple and cheap
 - 4 mask layers + 1 backside etch
 - no semiconductors
 - Si substrates (large diam. possible)
- ACMB arrays are frequency extensible
 - microantenna alone to > 30THz
 - substrate thickness dominates design
- ACMB performance is adequate for active systems
 - NEP ~ 50-100 pW/Hz1/2
 - Speed ~ 400 kHz
 - pixel count limited by real estate, now ~ 100

• This speed can be traded for pixel count via scanning



Prior mmw ACMB arrays Tong (1983) Rebeiz (1990) Hu (1996)

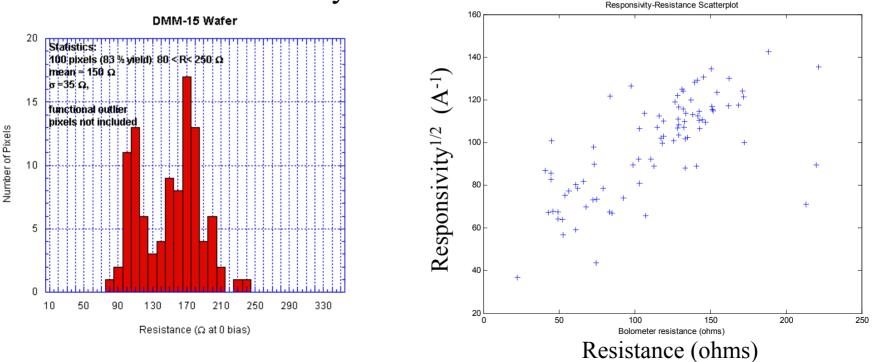
and many others

4.75 mm array pitch 1.6 x 10 x .02 μm bolometer 302-155 7-03 160 µm 55 jum 15KU IE OL 39 m n



Array Uniformity

- Current FPA's show +/- 39 % (1 σ) uniformity in R
- Correlation between R and Responsivity indicates nonuniformity is limited by linewidth variation
- Optical "flat-fielding" indicated
- Conversion to projection lithography has improved the *R*- nonuniformity to $\sim 5\%$



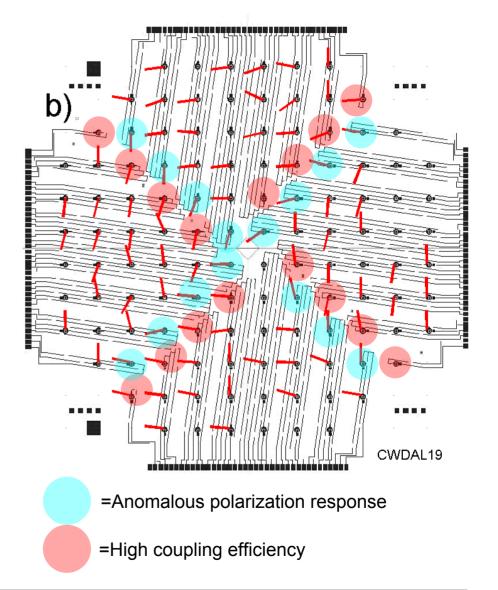
Active Imaging System Block Diagram

• "Brute-force" repetition of Source 1 120 channels amplification Source and gated integration (8 chan. **Timing Generator** Module (commercial) per card Source 3 • Real-time readout 120-element HOLD MUX AMPLE •ASIC-able **Focal Plane Array** o 0 0 ò o x 8 0 8-channel Preamp/Gated Integrator Card 16-card Front-End Rack Control 16-channel Data & Display Acquisition Module (commercial)



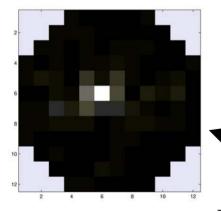
FPA Optical characterization

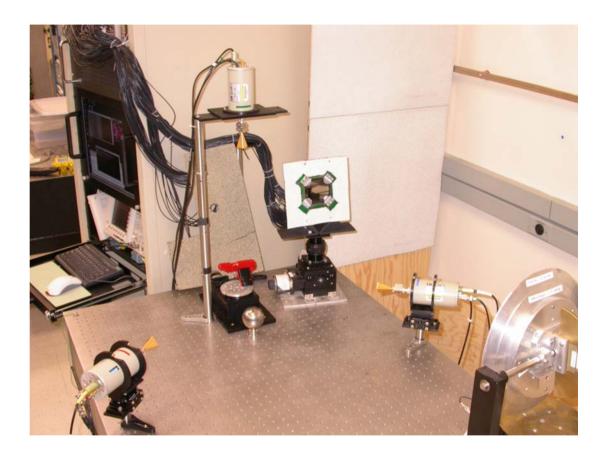
- Polarization measurement carried out by rotating a source 180°, while acquiring a 'movie' with the FPA
- Pixels at the CCW edge show anomalous polarization response
- May be due to coupling to the straight section at the end of these bias circuits
- However, unless this effects the pixel to pixel cross-talk, effect can be corrected using flat field measurements for both polarizations
- These pixels are not the same as the ones showing high coupling efficiency



3-D Illumination System

- Illuminate from X, Y, and Z directions
- Detect from (1,1,1) Direction
- 1 m radius spherical collecting mirror, at unity magnification
- Source pulse trains are interlaced in time



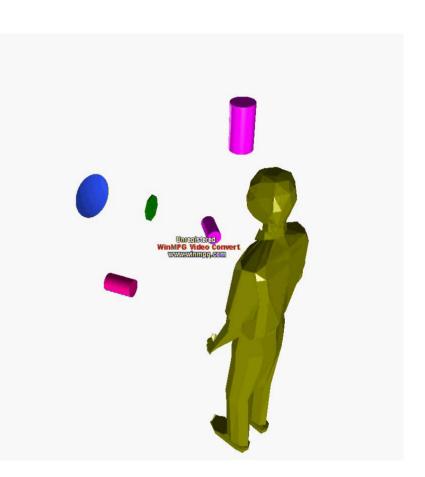


Map of point source (open ended WR-10)



Video imagery

- Video imagery acquired for various objects
- A stream file allows for post processing of the videos
- Color coding of the three sources facilitates image interpretation
- Polarization of sources set to 45° in order to obtain signal from all FPA quadrants



Video imagery: point source movie

Video deleted for size



Video imagery: Suicide bomber

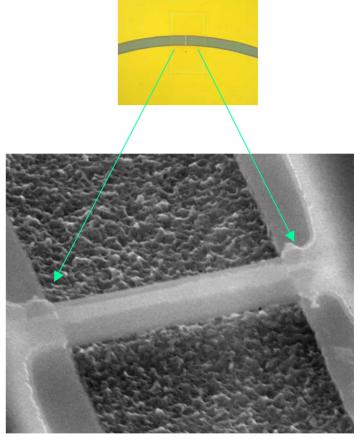
Video deleted for size



Airbridge Microbolometers

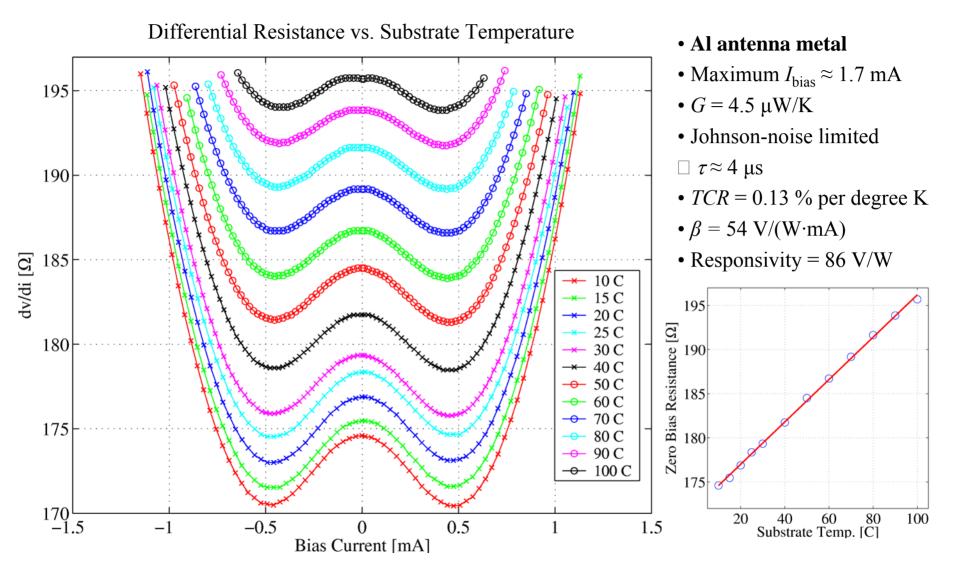
- Current FPA microbolometers
 - 5-10 V/W-mA
 - 25-50 V/W
 - 400 kHz
- Airbridge

- 40 80 V/W-mA
- 100 V/W
- 50 kHz (est.)
- Optimum (for 1D scanned system)
 - maximize V/W consistent with
 - ~ 20-40 kHz bandwidth

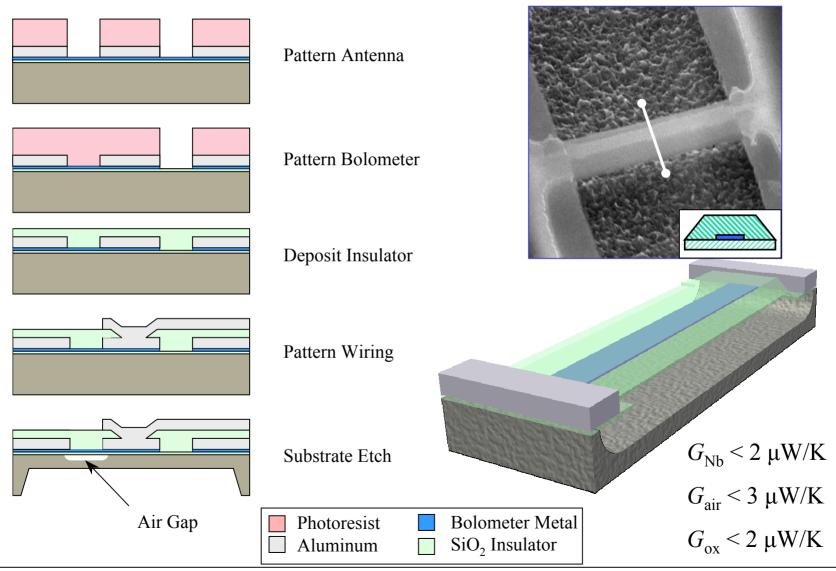


10 micron airbridge, Nb strip passivated in SiO_2 , Released with XeF_2 etch Of underlying Si

Air-Bridge dv/di vs. T



Air-Bridge Bolometers



NIST

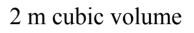
Erich Grossman, grossman@boulder.nist.gov Colloquium, Sandia Natl. Lab, 11/17/04

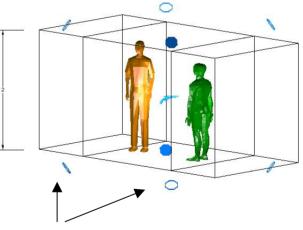
Can the 2D Staring Array Approach be Scaled Up?

• Present antenna-coupled bolometer arrays lack either pixel count, sensitivity, or speed

- Surface of the human body is $\sim 3 \text{ m}^2$.
- At 1 cm resolution, ~ 30 kpixels needed : FPA real estate is a serious problem for scale up of staring arrays
- Scanning requires fewer pixels, but higher speed
- Higher frequency provides more pixels, but requires more sensitivity (to compensate for clothing penetration)

8 x (60 x 60) FPA's, 35-54 degree antenna halfwidth (7 – 11 dB directivity)





8 FPA's at (111) directions

6 illuminators at (100) directions

Real Estate for Staring Arrays

- Mindless scale-up of an uncooled IR FPA doesn't work:
 - 25 μm pixels become 8 mm pixels (95 GHz)
 1.15 mm pixels (650 GHz)
 - So 20 kpixel (120 x 160) array is 1.2 m at 95 GHz, 18 cm
 - Poorly matched to density of CMOS readout circuits
 - Consider compressing array: Must match antenna beamwidth and optics speed (smaller antennas have broader beams)

Optics requirements become very severe (\$\$) for large field-of-view



Video imagery: observations

- Signal to noise ratio is clearly sufficient for detection
- Object recognition is challenging due to the small number of pixels & poor spatial resolution
- Strong specular reflections from objects at certain orientations
- Strong returns also from the skin
- However, with larger pixel count & improved spatial resolution these issues can be tackled

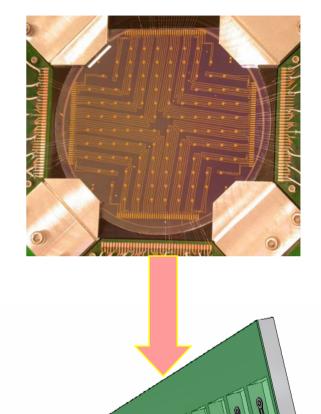
Imagery is clutter, not detector noise limited

1D Scanned System



The Quest for more pixels

- Instead of 2D array (12x12 pixels) use a linear array (1x128 pixels)
- Conical scanning optics, combined with a *linear* 128 pixel array (using the same readout)
- Yields 128x300 image pixels without sacrificing SNR
- Linear array pixels greatly relaxed wiring requirements → improved coupling efficiency (~30 %)
- New IMPATT source, P_{peak} =10 W, P_{ave} =50 mW
- Overall, SNR improvement by a factor of ~600 expected!
- The sensitivity improvement helps especially in longer range applications



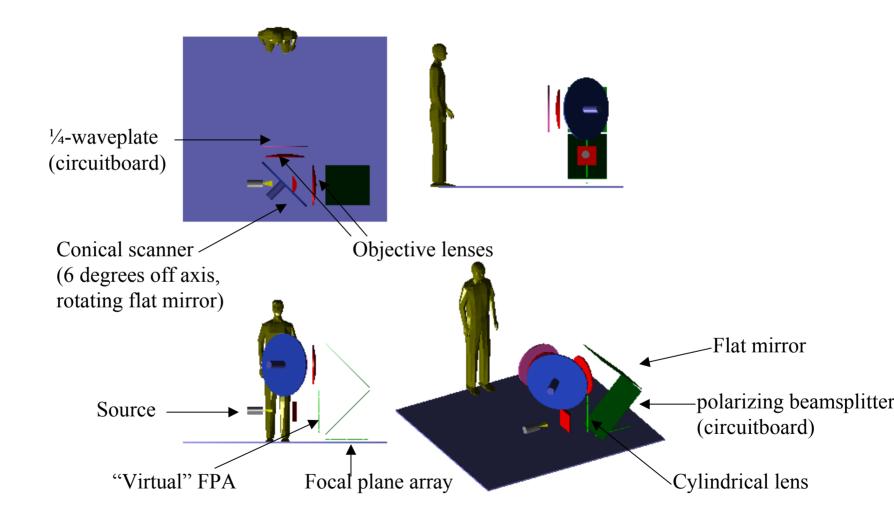
Active Systems favor Scanning Architectures

- If performance is sensitivity-limited, and • total illumination power • frame time • number of image pixels
 • If performance is sensitivity-limited, and • total illumination power • fixed $N_{pix} = N_d \times N_{scan}$
 - duty cycle = pixel time/frame time = 1/Nscan
- Divide power among N_d detectors (illuminate only where scanning) Power per pixel $\propto N_d^{-1}$ Pixel time $\propto N_d$ SNR \propto (power per pixel)×(pixel time)^{1/2} $\propto N_d^{-1/2}$
- <u>Optimum is fewer detectors, scanned faster,</u> <u>up to limits of scanner and detector speeds</u>

If noise is not white, scanning is even more favored



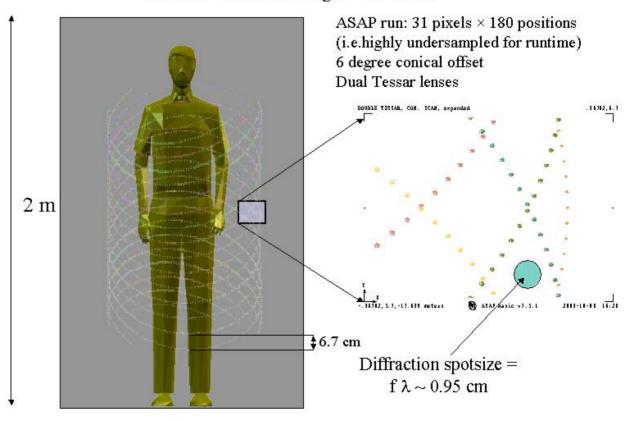
High Pixel-count, MM-wave Scanning System





Conical Scan Sampling

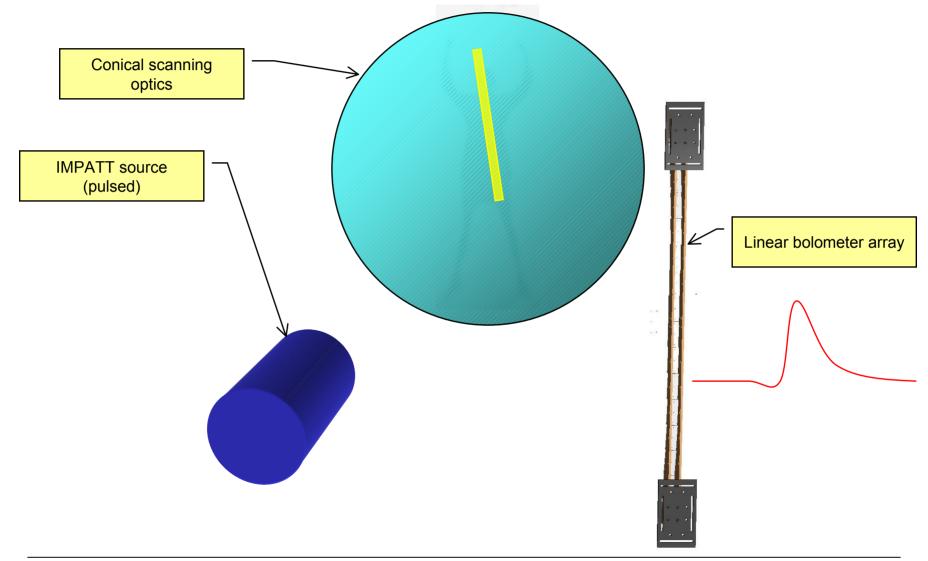
- Pixel count 128 detectors x 300 scan angles = 38.4 kpix
- redundancy



Conical Scan Coverage - To scale



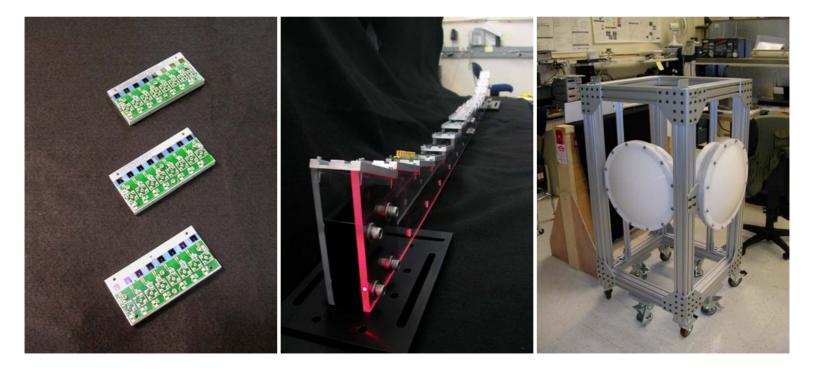
Linear array & Conical scanning





Linear array & Conical scanning

- The linear array consists of 16 modules with 8 pixels each
- Modules mount onto a "spine"
- Optics: aspheric doublet lenses (Polyethylene), D=48 cm, total loss = 1.3 dB at 95 GHz, diffraction-limited over +/- 35 degree FOV at f/3.1



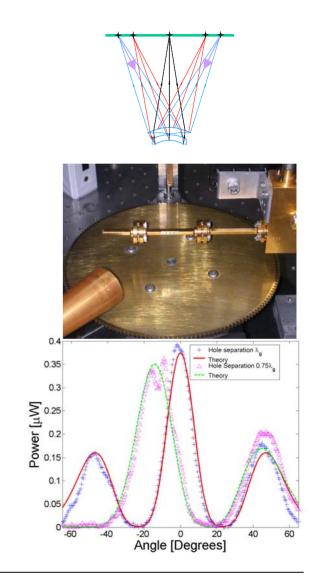


Line Source

• Desired source is an image of FPA

• linear array of point sources, emitting into f/2.5 cones pointed toward exit aperture

- At 95 GHz, implemented in waveguide
 - narrow wall holes emit as magnetic dipoles
- At 650 GHz, implement quasioptically with crossed cyclindrical lenslet array

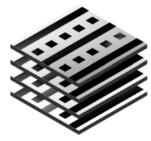




λ /4 plate and polarizer

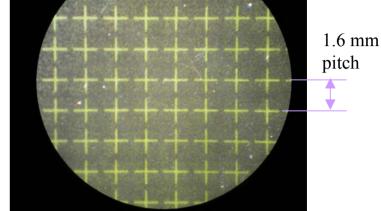
• Fabrication by laser printer, then metallic lamination

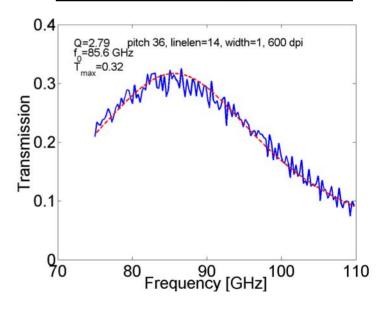
- see Kondo, T. Nagashima, T. Hangyo, A. (2002), Conf. Digest for 27th Intl. Symp. IR and Mm Waves
- large area (8 ½ x 11)
- low cost
- •100 μ m linewidth well defined
- high resistivity circumvented with electroplating



- "Waffle-grid" λ / 4 plate design
 - CU Boulder development
 - Leong and Shiroma, Elec. Lett. 38(22) (2002)
 - Shiroma and Popovic (Microwave and Guided Wave Lett. 6(5) (1996)

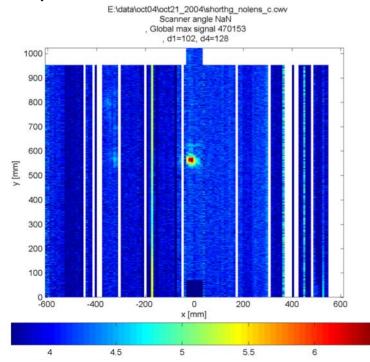
Laserprinted crossed-slot bandpass filter

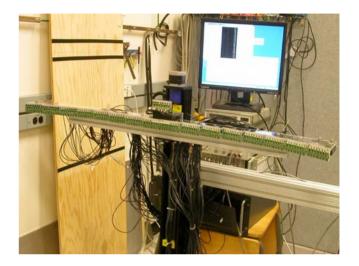


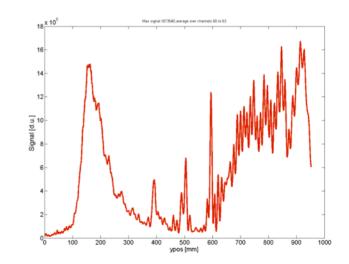


Linear array & Conical scanning

- System verification under way
 - Imaging of the source on the detector array to verify the illumination conditions & coupled power
- Issues found: interference of triplets







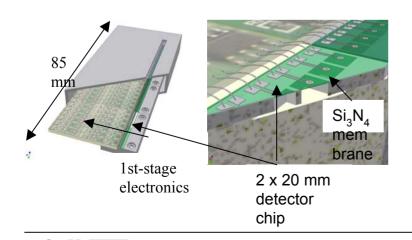
Migration to 650 GHz TADD System Specifications

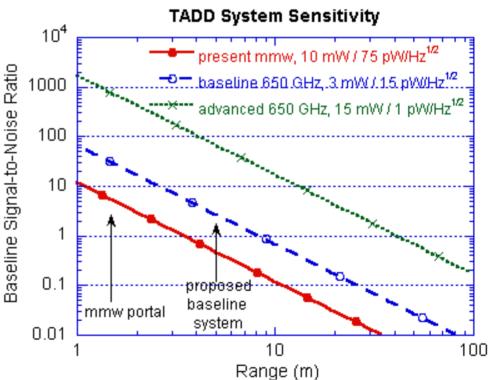
Specification	Value	Specification	Value
Frequency	655 GHz	Pixel count	128 🗇 300
Range	5 m	Illumination power	3 mW
Aperture Size	25 cm	Illumination efficiency	25 %
Field-of-view	2 \$ 4 m	Detection efficiency	50%
Frame Rate	(h	NEP	5 pW/Hz ^{1/2}
Spatial resolution	1 cm	S/N ratio (one 30 Hz frame)	3

Table 1. Baseline TADD system specification and performance

THz Active Direct Detection Sensitivity

- Source power and detector NEP control range
- R⁻² dependence not R⁻⁴ (conventional radar) target in near field of aperture





Sb-heterostructure quantum tunneling diodes

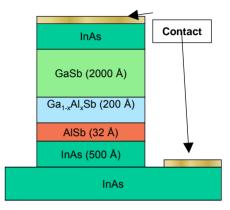
in collaboration with HRL Laboratories, Malibu, CA

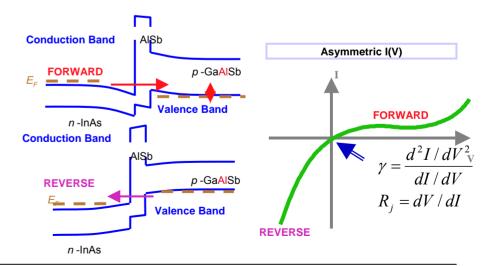
Joel N. Schulman Harris P. Moyer

Diodes, unlike bolometers, do not suffer from phonon noise, but:

•

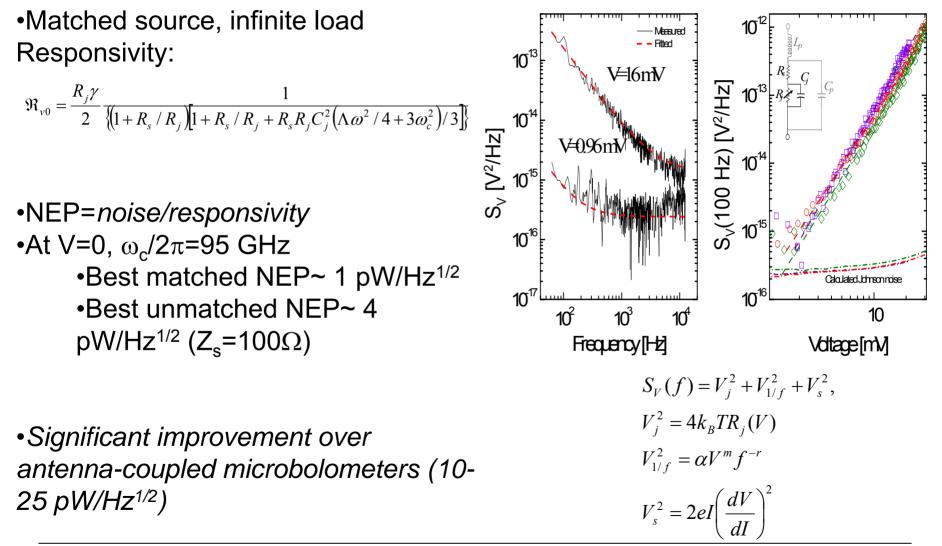
- Schottky diodes (the most common diode detector) require a dc bias for sensitivity & impedance matching and suffer from huge 1/f noise
 - Detection is typically done after a RF amplifier
- Their RF bandwidth is limited by the RC of the junction resistance & capacitance → small area required for high frequency operation
- HRL Sb-heterostructure zero-bias diodes
 - basic operation similar to the Esaki diode
 - Type II band gap alignment: n-InAs Conduction band minimum lies energetically below the valence band maximum in p-GaAlSb→ asymmetry in *I*(*V*) characeristics.
 - Large nonlinearity at zero bias → no 1/f noise
 - (2 μm)² diodes fabricated from epitaxial layers of InAs & GaAlSb using MBE







Sb-heterostructure quantum tunneling diodes: noise characterization





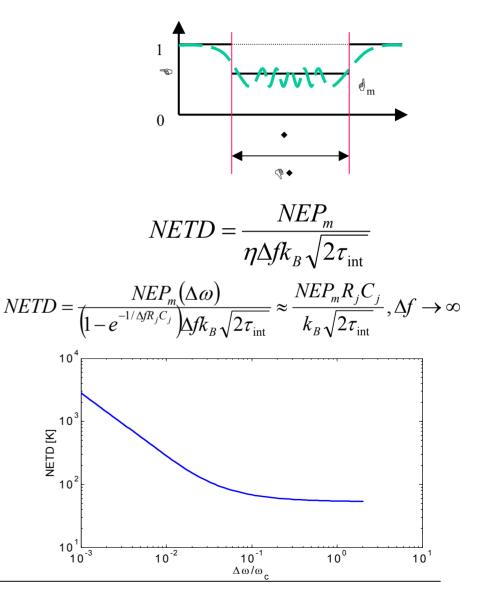
Matching considerations for passive direct detection

•Broader detector bandwidth – more signal power – more difficult impedance matching •The Bode-Fano criterion gives the minimum average reflection coefficient \mathfrak{E}_m for an arbitrary impedance matching network: $\Gamma_m \geq e^{-\pi/\Delta\omega RC}$

•Fraction of delivered power $\mathfrak{M}=1-|\mathfrak{G}_m|^2$ •NETD is the true figure of merit for passive imaging of broadband (thermal) sources

•Enforcing the B-F criterion yields a best NETD~ 53 K for these non-optimized devices

•With further reduction in R_j, C_j, NETD~6 K is possible! sufficient for many imaging applications



Active Imaging with ACMBs

- Fundamental trade-off: Cost & Complexity vs. sensitivity
- Antenna-coupled microbolometers are by far the simplest of the detector candidates
- What room temperature bolometers lack in sensitivity can be compensated with the use of illumination: 5000 \$ source → 5 mW average power (increase by 8 orders of magnitude!)
- Program started in 2001 to develop a system demonstrator with pulsed noise sources & antenna-coupled microbolometers
- Moderate (120) pixel count to provide a system to study the phenomenology of active video rate mmw imaging

Conclusions

- For advanced checkpoint CWD, both mmw/THz and x-ray backscatter imaging offer penetration and resolution
- The relative advantages of mmw/THz and XRB depend on application details. Mmw/THz has advantages in
 - safety/privacy
 - throughput
 - <u>cost</u>
- •An active mmw/THz imager based on bolometers
 - is simple and cheap
 - scales easily to THz frequencies
 - has enough sensitivity for CWD at ranges up to 5 m without any breakthroughs in component performance